

# APPENDIX C: FY 2018: MFCU Case Outcomes and Open Investigations by Provider Type and Case Type

**Exhibit C1: Number of convictions; settlements and judgments; and recoveries by provider type and case type**

PROVIDER TYPE	CRIMINAL		CIVIL	
	Convictions	Amount of Recoveries	Settlements and Judgments	Amount of Recoveries
<b>Patient Abuse or Neglect</b>				
Assisted Living Facility	11	\$104,691	0	\$0
Developmental Disability Facility	23	\$25,619	1	\$5,960
Hospice	0	\$0	0	\$0
Nondirect Care Staff	23	\$572,385	1	\$83,000
Nurse Aide (CNA or Other)	129	\$206,954	1	\$4,500
Nursing Facilities	24	\$68,168	21	\$1,343,000
Nurse (LPN, RN, NP) or Physician Assistant	69	\$50,815	0	\$0
Personal Care Aide or Other Home Care Aide	38	\$108,804	1	\$20,000
Other	77	\$1,241,449	2	\$15,000
<b>Fraud—Facility-Based Medicaid Providers and Programs—Inpatient and/or Residential</b>				
Assisted Living Facility	5	\$20,599	3	\$116,508
Developmental Disability Facility (Residential)	1	\$61,667	4	\$830,000
Hospice	1	\$23,925	1	\$29,621
Hospital	8	\$37,041,364	23	\$12,102,234
Inpatient Psychiatric Services for Individuals Under Age 21	0	\$0	1	\$232,117
Nursing Facility	8	\$12,301,622	15	\$36,351,638
Other Inpatient Mental Health Facility	1	\$77,106	0	\$0
Other Long-Term Care Facility	1	\$667,103	1	\$82,233

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**Number of convictions; settlements and judgments; and recoveries by provider type and case type (continued)**

PROVIDER TYPE	CRIMINAL		CIVIL	
	Convictions	Amount of Recoveries	Settlements and Judgments	Amount of Recoveries
<b>Fraud—Facility-Based Medicaid Providers and Programs—Outpatient and/or Day Services</b>				
Adult Day Center	1	\$24,962	3	\$192,625
Ambulatory Surgical Center	0	\$0	0	\$0
Developmental Disability Facility (Nonresidential)	4	\$136,703	3	\$2,295,373
Dialysis Center	0	\$0	2	\$57,876
Mental Health Facility (Nonresidential)	20	\$10,684,901	8	\$13,178,517
Substance Abuse Treatment Center	14	\$189,419	6	\$5,236,675
Other Facility (Nonresidential)	10	\$4,145,686	21	\$4,870,292
<b>Fraud—Licensed Practitioners</b>				
Audiologist	3	\$14,263,893	1	\$51,546
Chiropractor	1	\$1,960	5	\$1,635,320
Clinical Social Worker	10	\$4,124,692	6	\$519,470
Dental Hygienist	0	\$0	0	\$0
Dentist	16	\$11,303,329	43	\$27,829,264
Nurse (LPN, RN, or Other Licensed)	88	\$1,961,017	7	\$331,336
Nurse Practitioner	11	\$267,999	1	\$4,457
Optometrist	1	\$3,401	2	\$87,447
Pharmacist	4	\$3,184,775	0	\$0
Physician Assistant	5	\$2,929	0	\$0
Podiatrist	1	\$83,653	1	\$80,677
Psychologist	15	\$3,097,639	1	\$126,760
Therapist (Non-Mental Health, PT, ST, OT, RT)	9	\$776,174	3	\$90,795
Other Practitioner	29	\$1,275,871	4	\$9,737,935
<b>Fraud—Medical Services</b>				
Ambulance	3	\$866,500	4	\$205,435
Billing Services	5	\$2,674,345	2	\$903,555

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**Number of convictions; settlements and judgments; and recoveries by provider type and case type (continued)**

PROVIDER TYPE	CRIMINAL		CIVIL	
	Convictions	Amount of Recoveries	Settlements and Judgments	Amount of Recoveries
<b>Fraud—Medical Services (continued)</b>				
Home Health Agency	27	\$17,831,658	17	\$25,867,737
Lab (Clinical)	0	\$53,313	22	\$5,646,144
Lab (Radiology and Physiology)	2	\$25,310	1	\$72,106
Lab (Other)	0	\$0	11	\$1,206,484
Medical Device Manufacturer	0	\$0	57	\$6,964,707
Pain Management Clinic	5	\$13,640,377	0	\$0
Personal Care Services Agency	36	\$5,239,144	14	\$777,787
Pharmaceutical Manufacturer	0	\$0	217	\$311,330,073
Pharmacy (Hospital)	0	\$0	2	\$309,555
Pharmacy (Institutional Wholesale)	2	\$47,417	23	\$4,560,858
Pharmacy (Retail)	32	\$5,506,057	78	\$32,425,607
Suppliers of Durable Medical Equipment, Prosthetics, Orthotics and Supplies (DMEPOS)	22	\$14,828,695	57	\$5,235,133
Transportation (Nonemergency)	30	\$1,279,772	14	\$1,801,522
Other	8	\$293,163	19	\$1,285,221
<b>Fraud—Other Individual Providers</b>				
Emergency Medical Technician or Paramedic	0	\$0	0	\$0
Nurse's Aide (CNA or Other)	32	\$222,997	1	\$618,768
Optician	0	\$0	0	\$0
Personal Care Services Attendant	460	\$5,415,614	16	\$207,045
Pharmacy Technician	5	\$9,629	0	\$0
Unlicensed Counselor (Mental Health)	22	\$2,950,522	2	\$1,115,022
Unlicensed Therapist (Non-Mental Health)	4	\$41,964	0	\$0
Other	69	\$2,611,250	5	\$1,002,162
<b>Fraud—Physicians (MD/DO) by Medical Specialty</b>				
Allergist/Immunologist	0	\$0	1	\$128,695
Cardiologist	3	\$16,158,755	3	\$394,180

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**Number of convictions; settlements and judgments; and recoveries by provider type and case type (continued)**

PROVIDER TYPE	CRIMINAL		CIVIL	
	Convictions	Amount of Recoveries	Settlements and Judgments	Amount of Recoveries
<b>Fraud—Physicians (MD/DO) by Medical Specialty (continued)</b>				
Emergency Medicine	0	\$0	7	\$187,893
Family Practice	17	\$36,088,772	3	\$1,017,947
Geriatrician	0	\$0	0	\$0
Internal Medicine	9	\$2,276,677	5	\$1,586,744
Neurologist	4	\$2,789,941	3	\$644,145
Obstetrician/Gynecologist	0	\$0	1	\$33,544
Ophthalmologist	1	\$607,348	0	\$0
Pediatrician	3	\$318,979	2	\$1,161,188
Physical Medicine and Rehabilitation	2	\$400,200	0	\$0
Psychiatrist	0	\$10,000	6	\$820,176
Radiologist	0	\$0	0	\$0
Surgeon	4	\$790,461	1	\$26,114
Urologist	2	\$182,747	1	\$47,436
Other MD/DO	17	\$71,568,097	17	\$6,645,449
<b>Fraud—Program Related</b>				
Managed Care Organization (MCO)	2	\$58,073	2	\$12,036,324
Medicaid Program Administration	0	\$0	0	\$0
Other	14	\$1,456,048	4	\$1,020,345
<b>Total</b>	<b>1,503</b>	<b>\$314,345,099</b>	<b>810</b>	<b>\$544,827,273</b>

**Exhibit C2: Number of open investigations at the end of FY 2018 by provider type and case type**

PROVIDER TYPE	OPEN INVESTIGATIONS		
	Criminal	Civil	Total
<b>Patient Abuse or Neglect</b>			
Assisted Living Facility	221	2	223
Developmental Disability Facility	135	0	135
Hospice	8	0	8
Nondirect Care Staff	144	0	144
Nurse Aide (CNA or Other)	479	0	479
Nursing Facilities	1,071	61	1,132
Nurse (RN, LPN, NP) or Physician Assistant	433	2	435
Personal Care Aide or Other Home Care Aide	329	0	329
Other	692	3	695
<b>Fraud—Facility-Based Medicaid Providers and Programs—Inpatient and/or Residential</b>			
Assisted Living Facility	34	9	43
Developmental Disability Facility (Residential)	36	6	42
Hospice	78	42	120
Hospital	84	244	328
Inpatient Psychiatric Services for Individuals Under Age 21	16	12	28
Nursing Facility	118	211	329
Other Inpatient Mental Health Facility	21	40	61
Other Long-Term Care Facility	16	15	31
<b>Fraud—Facility-Based Medicaid Providers and Programs—Outpatient and/or Day Services</b>			
Adult Day Center	76	5	81
Ambulatory Surgical Center	1	8	9
Developmental Disability Facility (Nonresidential)	22	5	27
Dialysis Center	2	50	52
Mental Health Facility (Nonresidential)	245	39	284
Substance Abuse Treatment Center	112	36	148
Other Facility (Nonresidential)	91	43	134

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**Number of open investigations at the end of FY 2018 by provider type and case type (continued)**

PROVIDER TYPE	OPEN INVESTIGATIONS		
	Criminal	Civil	Total
<b>Fraud—Licensed Practitioners</b>			
Audiologist	3	1	4
Chiropractor	21	5	26
Clinical Social Worker	90	4	94
Dental Hygienist	5	2	7
Dentist	320	56	376
Nurse (LPN, RN, or Other Licensed)	528	4	532
Nurse Practitioner	69	1	70
Optometrist	21	4	25
Pharmacist	47	28	75
Physician Assistant	33	1	34
Podiatrist	20	8	28
Psychologist	94	6	100
Therapist (Non-Mental Health, PT, ST, OT, RT)	80	26	106
Other Practitioner	138	18	156
<b>Fraud—Medical Services</b>			
Ambulance	93	20	113
Billing Services	28	46	74
Home Health Agency	652	68	720
Lab (Clinical)	73	364	437
Lab (Radiology and Physiology)	12	27	39
Lab (Other)	34	130	164
Medical Device Manufacturer	1	584	585
Pain Management Clinic	55	18	73
Personal Care Services Agency	263	13	276
Pharmaceutical Manufacturer	150	3,046	3,196
Pharmacy (Hospital)	1	3	4
Pharmacy (Institutional Wholesale)	14	200	214
Pharmacy (Retail)	217	553	770
Transportation (Nonemergency)	207	10	217
Suppliers of Durable Medical Equipment, Prosthetics, Orthotics, and Supplies (DMEPOS)	170	548	718

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**Number of open investigations at the end of FY 2018 by provider type and case type (continued)**

PROVIDER TYPE	OPEN INVESTIGATIONS		
	Criminal	Civil	Total
<b>Fraud—Medical Services (continued)</b>			
Other	85	208	293
<b>Fraud—Other Individual Providers</b>			
Emergency Medical Technician or Paramedic	2	0	2
Nurse Aide (CNA or Other)	54	0	54
Optician	3	4	7
Personal Care Services Attendant	1,810	11	1,821
Pharmacy Technician	13	0	13
Unlicensed Counselor (Mental Health)	96	7	103
Unlicensed Therapist (Non-Mental Health)	3	0	3
Other	359	34	393
<b>Fraud—Physicians (MD/DO) by Medical Specialty</b>			
Allergist/Immunologist	8	2	10
Cardiologist	18	16	34
Emergency Medicine	12	11	23
Family Practice	251	15	266
Geriatrician	2	0	2
Internal Medicine	167	20	187
Neurologist	37	2	39
Obstetrician/Gynecologist	26	8	34
Ophthalmologist	16	9	25
Pediatrician	50	3	53
Physical Medicine and Rehabilitation	26	14	40
Psychiatrist	85	8	93
Radiologist	7	6	13
Surgeon	24	6	30
Urologist	1	1	2
Other MD/DO	340	89	429

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**Number of open investigations at the end of FY 2018 by provider type and case type (continued)**

PROVIDER TYPE	OPEN INVESTIGATIONS		
	Criminal	Civil	Total
<b>Fraud—Program Related</b>			
Managed Care Organization (MCO)	16	53	69
Medicaid Program Administration	16	10	26
Other	72	119	191
<b>TOTAL</b>	<b>11,502</b>	<b>7,283</b>	<b>18,785</b>